

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: J. O. Chu et al.

Serial No.: 09/838,892

Filed: April 20, 2001

For: EPITAXIAL AND POLYCRYSTALLINE

GROWTH OF Si1-x-yGexCy AND Si1-yCy ALLOY LAYERS ON Si BY

UHV-CVD

Docket No.: YOR920010308US1

Group Art Unit: 2811

Examiner:

RECEIVED

SEP 2 0 2002

Technology Center 2600

Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement Transmittal Letter PTO-1449 Form With 3 References Attached Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

> **Assistant Commissioner for Patents** Washington, D.C. 20231

on September 9, 2002

Jennifer Steeber (Type or printed name of person mailing paper or fee)

SP 1 6 2002 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. O. Chu et al.

Serial No.: 09/838,892

Filed: April 20, 2001

For: EPITAXIAL AND POLYCRYSTALLINE GROWTH OF Si1-x-yGexCy and Si1-yCy ALLOY LAYERS ON Si BY UHV-CVD

Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

Røbert Marrepp Aftorney
Intellectual Property Law Attorney

Date: September 9, 2002

Docket No.: YOR920010308US1

Art Unit: 2811

Examiner:

Reg. No. 25,933 (914) 945-3147

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, New York 10598